G-SpNN: GPU-Accelerated Passivity Enforcement for S-Parameter Modeling with Neural Networks

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OUTLINE

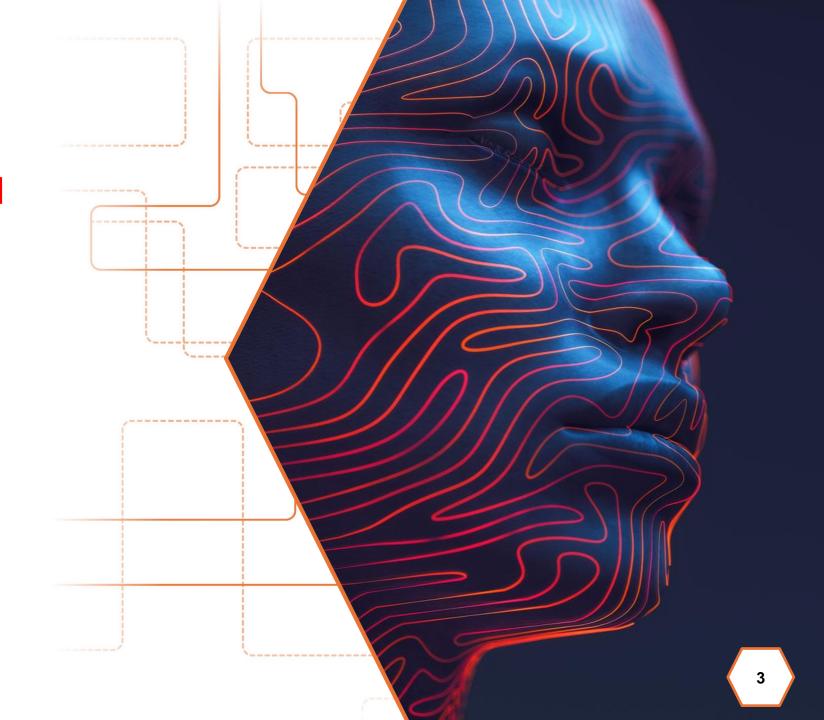
- 1 Background
- 2 G-SpNN
- 3 Experiment
- 4 Conclusion





OUTLINE

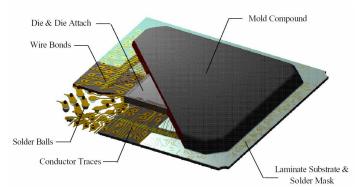
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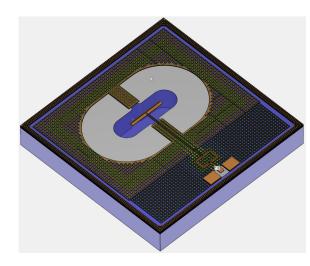


- ➤ At high frequencies, S-parameters (scattering parameters) are commonly used to describe the performance of microwave and RF devices.
- > Running time-domain analyses with them is computationally intensive and often leads to convergence issues.
- Macromodeling techniques are applied to simplify these behaviors, enhancing simulation efficiency and stability.





Chip Packaging Simulation



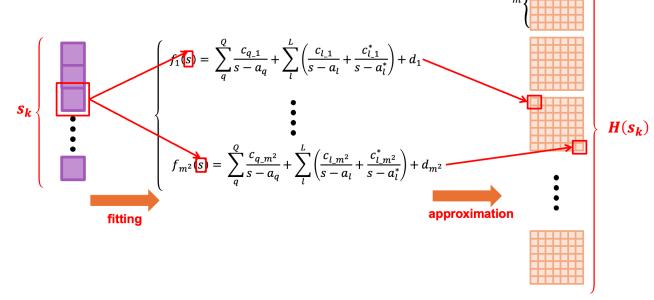
RFIC Simulation

Mainstream methods typically adopt a two-step approach:

1 Generate a macromodel without considering passivity constraints

Mainstream method such as: Vector Fitting (VF)[1]

Using the VF method, a set of functions with a given form is fitted to the known frequency data s_k and system response $H(s_k) \in \mathbb{C}^{m \times m}$.





2 Applying specialized algorithms to restore passivity

Such as: Eigenvalue Perturbation (EPM)[2], Residue Perturbation (RPM)[3], Local Compensation (LC)[4]

Transform the rational function form into a state-space form and apply the EPM/RPM/LC to restore the passivity of model G(s).

$$f(s_k) = \sum_{n=1}^{N_q} \frac{c_n}{s_k - a_n} + d + s_k h$$

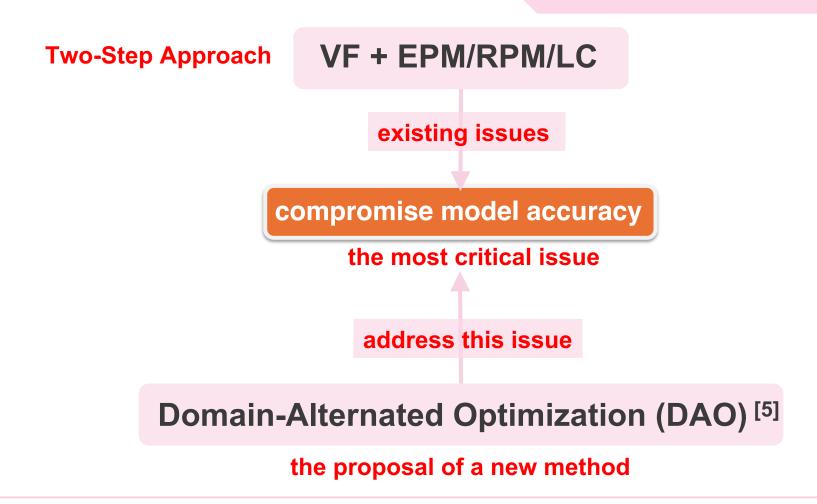
$$G(s_k) = C(s_k \cdot I - A)^{-1}B + D$$

$$G(s_k) + G^H(s_k) \ge 0$$
state-space form
$$G(s_k) + G^H(s_k) \ge 0$$

rational function form



- [2] S. Grivet-Talocia, "An adaptive sampling technique for passivity characterization and enforcement of large interconnect macromodels," IEEE Transactions on Advanced Packaging, vol. 30, no. 2, pp. 226–237, 2007.
- [3] B. Gustavsen, "Fast passivity enforcement for pole-residue models by perturbation of residue matrix eigenvalues," IEEE Transactions on Power Delivery, vol. 23, no. 4, pp. 2278–2285, 2008.
- [4] T. Wang and Z. Ye, "Robust passive macro-model generation with local compensation," IEEE Transactions on Microwave Theory and Techniques, vol. 60, no. 8, pp. 2313–2328, 2012.





DAO introduces two key transformation operators. Based on the transformation using SPF and PFE, the system $G(s_k)$ derived from $W(s_k)$ is guaranteed to preserve passivity, and the original optimization problem can be further converted into an unconstrained optimization problem.

Spectral Factorization (SPF)

$$R = Q^{T}Q = D + D^{T}$$

$$\tilde{A} = BR^{-1}C - A, \ \tilde{B} = BQ^{-1}, \ \tilde{C} = C^{T}R^{-1}C$$

$$K\tilde{A} + \tilde{A}^{T}K - K\tilde{B}\tilde{B}^{T}K - \tilde{C} = 0$$

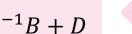
$$L = Q^{-T}C - Q^{-T}B^{T}K$$

$$W(s_{k}) = L(s_{k} \cdot I - A)^{-1}B + Q$$

Partial Fractional Expansion (PFE)

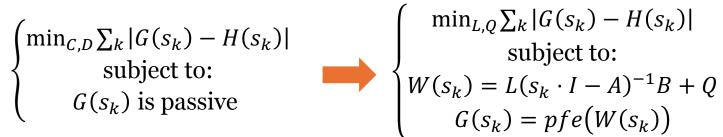
$$M = \operatorname{kron}(A^{T}, I_{n}) + \operatorname{kron}(I_{n}, A^{T})$$
$$\operatorname{vec}(K) = -M^{-1}\operatorname{vec}(L^{T}L)$$
$$C = B^{T}K + Q^{T}L, D = \frac{1}{2}Q^{T}Q$$

$$G(s_k) = C(s_k \cdot I - A)^{-1}B + D$$

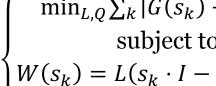


$$W(s_k) = L(s_k \cdot I - A)^{-1}B + Q$$









$$W(s_k) = L(s_k \cdot I - A)^{-1}B + G(s_k) = pfe(W(s_k))$$

Unconstrained Optimization Problem



Three-Step Approach

VF + EPM/RPM/LC



Domain-Alternated Optimization

The essence of DAO is to transform the passivity-constrained problem into an unconstrained optimization problem, thereby improving macromodel accuracy while maintaining passivity throughout the process.

Stage	Method	Passivity	Time (s)	Total Error
1	VF	non-passive	544	1.69%
2	LC	passive	253	8.38%
3	DAO	passive	12238	2.63%

case with 21-port system



Three-Step Approach

VF + EPM/RPM/LC



Domain-Alternated Optimization

However, as the number of ports in complex integrated circuits continues to grow, the three-step approach increasingly reveals additional issues.

For the previously mentioned 21-port system, the iteration time of DAO is 15 times longer than the total time of the first two steps. For a 64-port system, each iteration consumes an average of 22GB of memory, while for a 138-port system, memory usage exceeds 31.8GB.

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Domain-Alternated Optimization

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High Memory Consumption

Slow Convergence

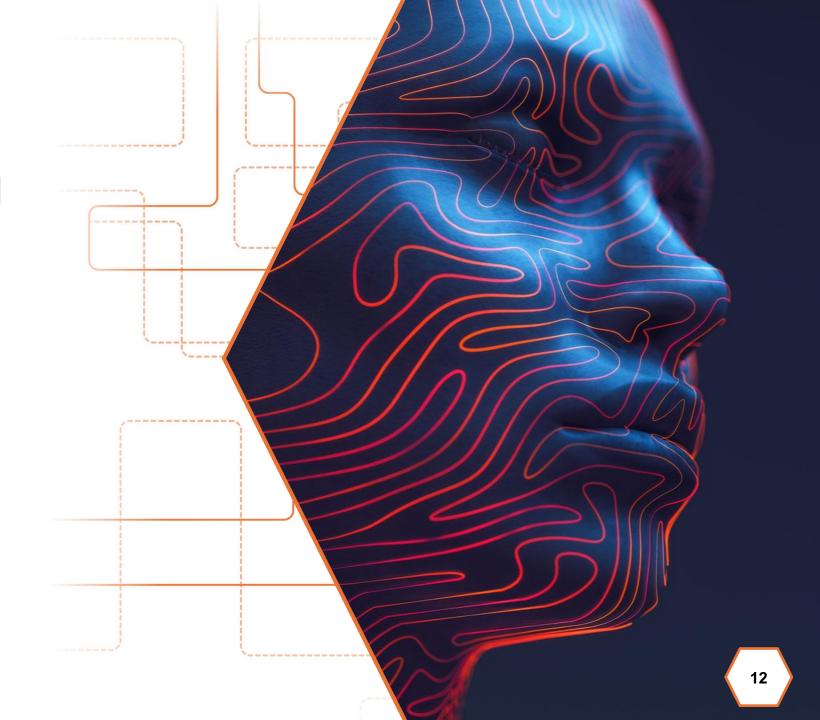
a 138-port system, memory usage exceeds 31.8GB.

Method		



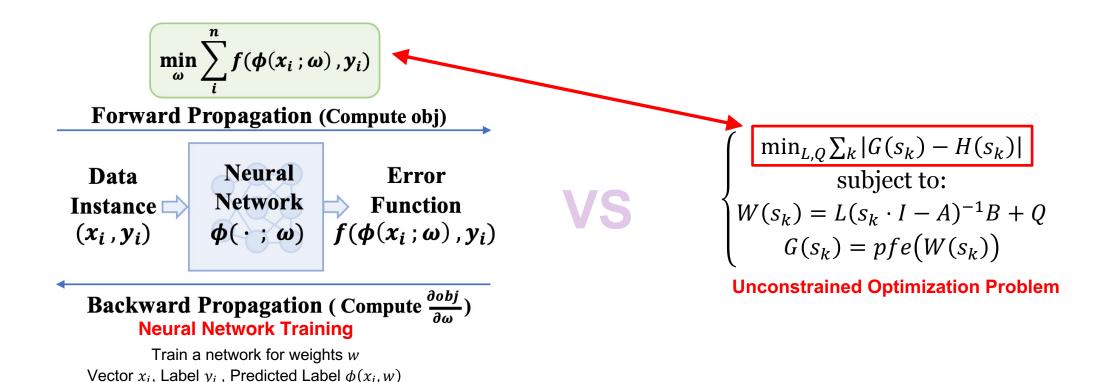
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Analogy to Neural Network Training



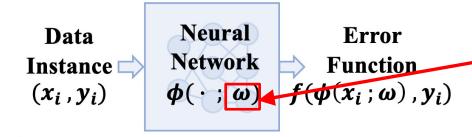
The error function in unconstrained optimization can be viewed as analogous to the prediction error encountered in neural network training.



Analogy to Neural Network Training

$$\min_{\omega} \sum_{i}^{n} f(\phi(x_i; \omega), y_i)$$

Forward Propagation (Compute obj)



 $\min_{L,Q} |G(s_k) - H(s_k)|$ subject to: $W(s_k) = L(s_k \cdot I - A)^{-1}B + Q$ $G(s_k) = pfe(W(s_k))$

Unconstrained Optimization Problem

Backward Propagation (Compute $\frac{\partial obj}{\partial \omega}$)

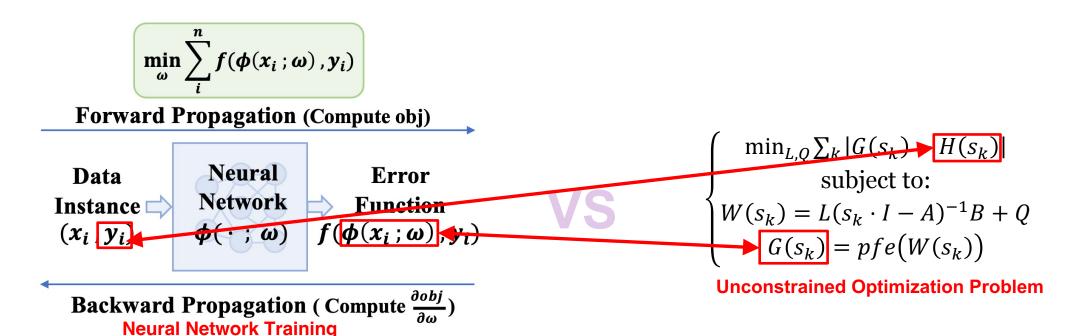
Neural Network Training

Train a network for weights wVector x_i , Label y_i , Predicted Label $\phi(x_i, w)$

The optimization variables L, Q in unconstrained optimization can be viewed as analogous to the trainable weight encountered in neural network training.



Analogy to Neural Network Training



Train a network for weights wVector x_i , Label y_i , Predicted Label $\phi(x_i, w)$

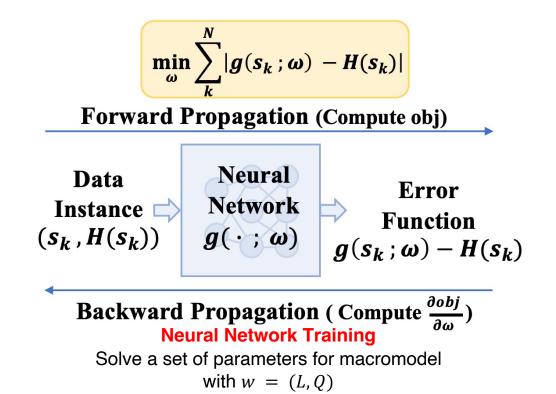
 $H(s_k)$, $G(s_k)$ in unconstrained optimization can be viewed as analogous to the label and predicted label encountered in neural network training.



Analogy to Neural Network Training

$$\begin{cases} \min_{L,Q} \sum_{k} |G(s_{k}) - H(s_{k})| \\ \text{subject to:} \\ W(s_{k}) = L(s_{k} \cdot I - A)^{-1}B + Q \\ G(s_{k}) = pfe(W(s_{k})) \end{cases}$$

Unconstrained Optimization Problem



The two optimization frameworks share a fundamental similarity, making it possible to leverage neural network techniques to accelerate macromodeling optimization.

G-SpNN Loss Function

To make the neural network training process more efficient, the objective function can be reformulated to reduce computational complexity.

The summation of errors over k terms increases computational complexity.

Error =
$$\min_{C,D} \sum_{k} |G(s_k) - H(s_k)|$$

Original Objective Function

$$\operatorname{Error_vec} = |Fy - h|$$

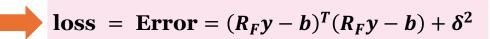
$$y = \begin{bmatrix} \operatorname{vec}(C) \\ \operatorname{vec}(D) \end{bmatrix} F_i = [\operatorname{kron}([s_iI - A]^{-1}, I_n) I_m^2]$$

$$h = \begin{bmatrix} \operatorname{Vec}(Re(H(s_1))) \\ \vdots \\ \operatorname{Vec}(Re(H(s_N))) \\ \operatorname{Vec}(Im(H(s_1))) \\ \vdots \\ \operatorname{Vec}(Im(H(s_N))) \end{bmatrix} F = \begin{bmatrix} Re(F_1) \\ \vdots \\ Re(F_N) \\ Im(F_1) \\ \vdots \\ Im(F_N) \end{bmatrix}$$

$$F = Q_F R_F$$

$$b = Q_F^T h, \ \delta^2 = h^T h - b^T b$$

Intermediate Computation Steps



Reformulated Objective Function



G-SpNN Loss Function

Based on the new definition of the loss function, optimization problem can be further reformulated.

mulated

$$\begin{cases} \min_{C,D} \sum_{k} |G(s_k) - H(s_k)| \\ \text{subject to:} \\ G(s_k) \text{ is passive} \end{cases}$$

$$\begin{cases} \min_{L,Q} \sum_{k} |G(s_{k}) - H(s_{k})| \\ \text{subject to:} \\ W(s_{k}) = L(s_{k} \cdot I - A)^{-1}B + Q \\ G(s_{k}) = pfe(W(s_{k})) \end{cases}$$

 $\begin{cases} \text{variable: } L, Q \\ \text{min: } f(y) = (R_F y - b)^T (R_F y - b) + \delta^2 \\ \text{subject to:} \end{cases}$ $x = \begin{bmatrix} \text{vec}(L) \\ \text{vec}(Q) \end{bmatrix}$ y = pfe(x)

Original Optimization Problem

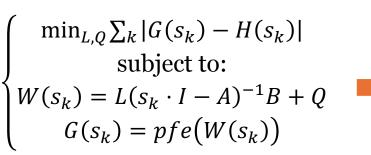
Unconstrained Optimization Problem

Reformulated Optimization Problem

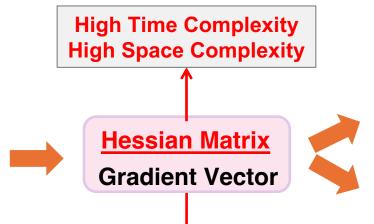
The reformulated optimization problem can also be analogized to neural network training for solution.

G-SpNN Further Analysis of the Main Challenges

For the transformed unconstrained optimization problem, it can be solved by computing the gradient vector and Hessian matrix, but this is also the main source of the challenges.



Unconstrained Optimization Problem



High Memory Consumption

Slow Convergence

Simplified Computational Steps

(involves matrix inversion and dense matrix multiplication)



(involves matrix inversion and dense matrix multiplication)
$$\frac{\partial y}{\partial x} = \begin{bmatrix} \frac{\partial y_C}{\partial x_L} & \frac{\partial y_C}{\partial x_Q} \\ \frac{\partial y_D}{\partial x_L} & \frac{\partial y_D}{\partial x_Q} \end{bmatrix} = \begin{bmatrix} -J_{CK}M^{-1}\mathcal{N}_L(L) + \mathcal{K}_Q(Q) & \mathcal{K}_L(L) \\ 0 & \mathcal{N}_Q(Q) \end{bmatrix} \qquad \qquad \frac{\partial f}{\partial y} = 2E^TR \qquad \qquad \frac{\partial^2 f}{\partial y^2} = 2R^TR \qquad \qquad \frac{\partial f}{\partial x} = \frac{\partial f}{\partial y} \frac{\partial y}{\partial x}$$

$$\frac{\partial f}{\partial y} = 2E^T R$$

$$\frac{\partial^2 f}{\partial x^2} = 2R^T R$$

$$\frac{\partial f}{\partial x} = \frac{\partial f}{\partial y} \frac{\partial y}{\partial x}$$

$$\frac{\partial^{2} y}{\partial x_{k}^{2}} = \frac{\partial^{2} y}{\partial x^{2}} \mathbf{e}_{k} = \frac{\partial}{\partial x} \left(\frac{\partial y}{\partial x} \right) \mathbf{e}_{k} = \begin{bmatrix} -J_{CK} M^{-1} \mathcal{N}_{L}(L_{k}) + K_{Q}(Q_{k}) & K_{L}(L_{k}) \\ 0 & \mathcal{N}_{Q}(Q_{k}) \end{bmatrix} \qquad \frac{\partial^{2} f}{\partial x^{2}} = \frac{\partial}{\partial x} \left(\frac{\partial f}{\partial y} \frac{\partial y}{\partial x} \right)^{T} = \left(\frac{\partial y}{\partial x} \right)^{T} \frac{\partial^{2} f}{\partial y^{2}} \frac{\partial y}{\partial x} + \frac{\partial^{2} y}{\partial x^{2}} \left(\frac{\partial f}{\partial y} \right)^{T}$$

$$\frac{\partial^2 f}{\partial x^2} = \frac{\partial}{\partial x} \left(\frac{\partial f}{\partial x} \right)^T = \frac{\partial}{\partial x} \left(\frac{\partial f}{\partial y} \frac{\partial y}{\partial x} \right)^T = \left(\frac{\partial y}{\partial x} \right)^T \frac{\partial^2 f}{\partial y^2} \frac{\partial y}{\partial x} + \frac{\partial^2 y}{\partial x^2} \left(\frac{\partial f}{\partial y} \right)^T$$

G-SpNN Further Optimization for Memory and Time Consumption

As previously mentioned, the full computation of the Hessian matrix incurs significant memory and time overhead; therefore, we adopt the LBFGS method to approximate the inverse of the Hessian matrix.

The update formula in LBFGS method

$$H_{k+1} = H_k - \frac{H_k \Delta g_k \Delta g_k^T H_k}{\Delta g_k^T \Delta x_k} + \frac{\Delta x_k \Delta x_k^T}{\Delta x_k^T \Delta g_k}$$

Where:

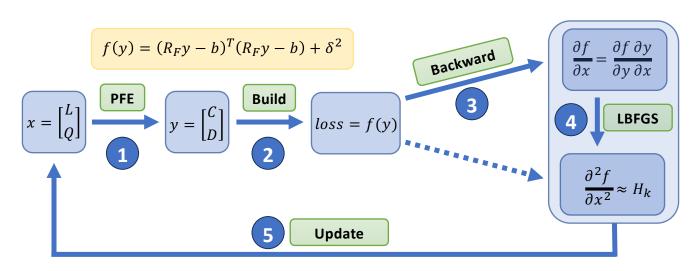
1) H_k is the Hessian inverse approximation at iteration k

2)
$$\Delta g_k = \left(\frac{\partial f}{\partial x}\right)_{k+1} - \left(\frac{\partial f}{\partial x}\right)_k$$
 is the change in the gradient

3) $\Delta x_k = x_{k+1} - x_k$ is the change in the parameter

The essence of LBFGS is to efficiently approximate the inverse of the Hessian matrix by retaining information from the most recent iterations, thereby accelerating the convergence of large-scale optimization problems.

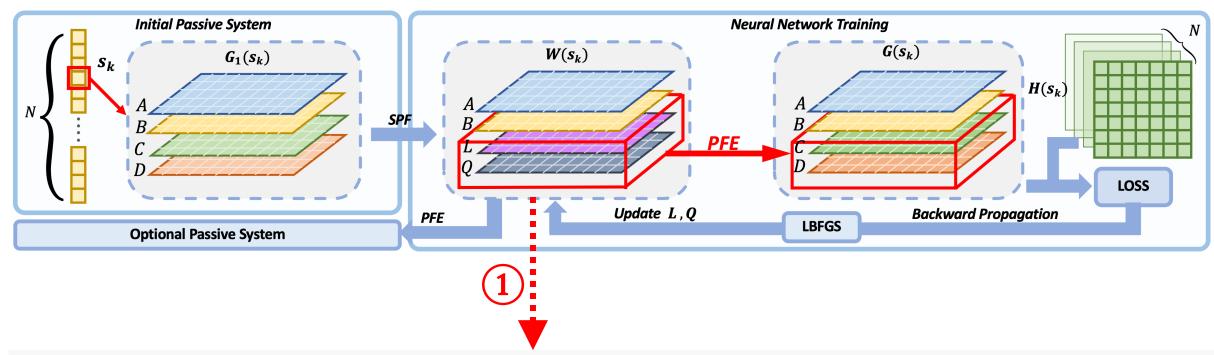
Further Optimization for Memory and Time Consumption



Computational Graph with LBFGS Method

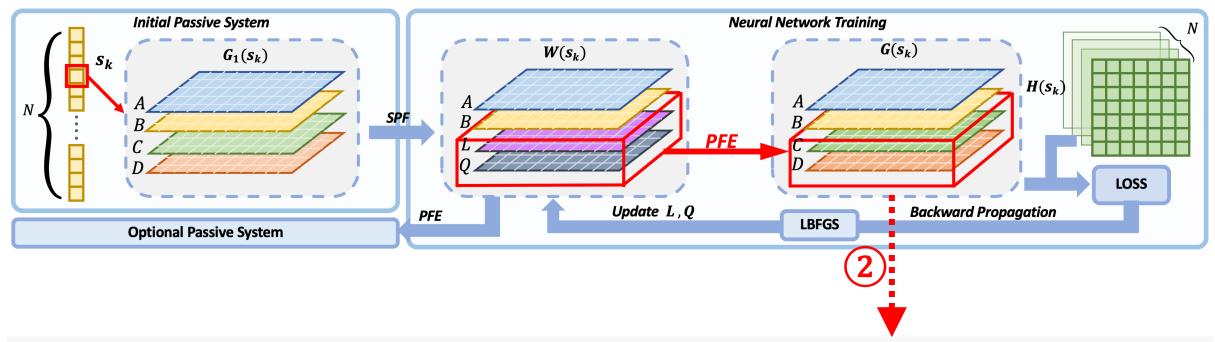
- **Step 1:** x undergoes the **PFE** operation to generate parameters y (the passive system G(s)).
- Step 2: Construct the loss function.
- Step 3: Use automatic differentiation to perform backpropagation via the chain rule and compute the first-order derivative of the loss function with respect to the network weights x.
- **Step 4:** Use the LBFGS method to approximate the inverse of the Hessian matrix.
- **Step 5:** Update the parameters L and Q of the initial passive system.





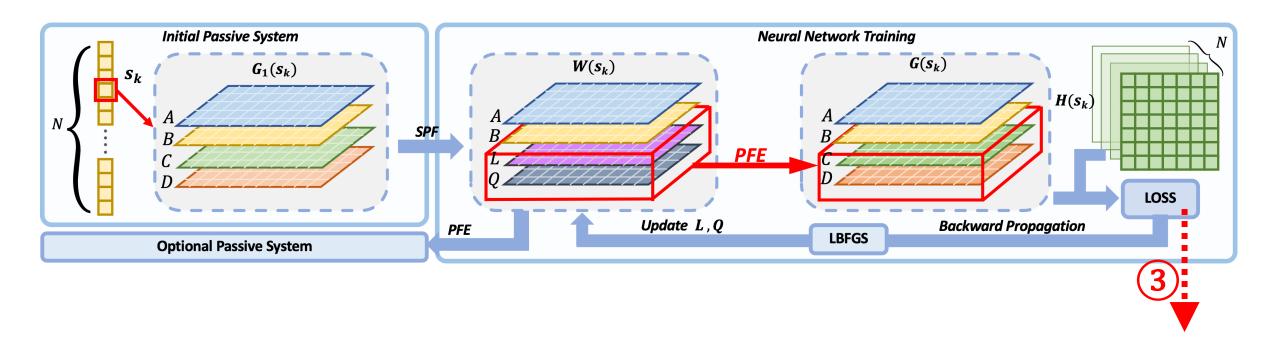
Starting with a given passive system $G_1(sk)$, an unconstrained system W(sk) is first derived by SPF transformation and represented as a layer in the neural network.





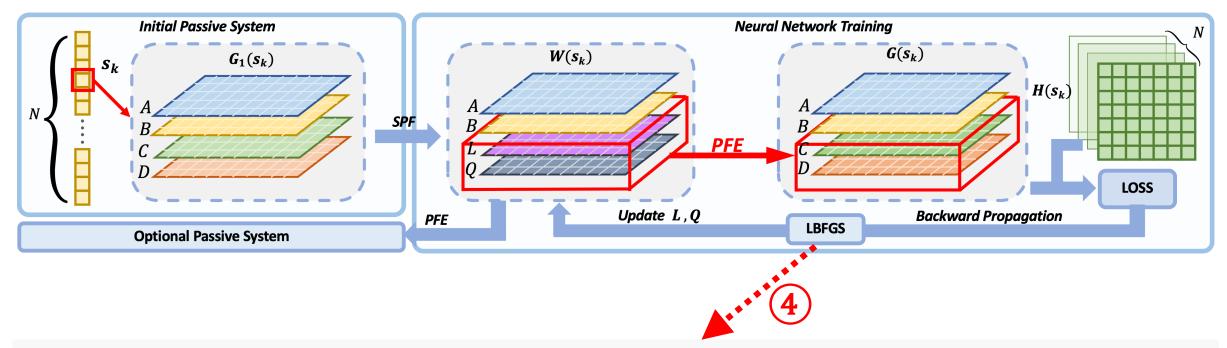
Next, the PFE transformation is applied to generate a new network layer G(sk), corresponding to a passive system. This reformulates the problem as a neural network training task.





During forward propagation, the system, together with the tabulated data H(sk), is used to compute the loss value.





For efficient training, the LBFGS method is futher incorporated with backpropagation to compute gradients and update the network parameters. Once the iteration stopping criteria are satisfied, the optimized passive system is obtained.



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Experiment Experimental Setup

Experimental Environment

- ➤ We implement and test the proposed G-SpNN on an <u>i7-14700KF</u> @5.6GHz CPU with 32GB of memory, and a GeForce RTX 4070 SUPER GPU with 12GB of VRAM.
- ➤ G-SpNN is implemented based on PyTorch and compared against the framework DAO, which is implemented in MATLAB and is open-sourced on GitHub.

Touchstone files information

Num	Case	Ports	n	m	N
1	Telluride	11	56	11	258
2	test_5	30	199	30	2000
3	sp125_uniform_2	64	342	64	400
4	CKDIST_TUNEDBUF	64	338	64	2000
5	pll_testcase	138	727	138	300
$\overline{m \times m}$	$\frac{1}{2}$	$An \times n$ Dn	$\times m$ αn	${1 \times n}$ and	$n \rightarrow m \times m$

 $H^{m \times m}(s_k)$ (1 $\leq k \leq N$) $A^{n \times n}$, $B^{n \times m}$, $C^{m \times n}$, and $D^{m \times m}$

Fitting results of VF and LC

Num		VF		LC				
Nulli	SS Error	Time(s)	Passivity	SS Error	Time(s)	Passivity		
1	1.52e-4	0.8906	non-passive	5.91e-1	0.1875	passive		
2	1.72e-7	17.78	non-passive	6.56e-5	7.64	passive		
3	6.29e-4	25.59	non-passive	6.56e-4	6.906	passive		
4	4.27e-3	96.89	non-passive	5.16e-3	17.21	passive		
5	5.49e-4	75.23	non-passive	5.70e-4	91.79	passive		



Comparison of G-SpNN and DAO. The "—" indicates memory overrun during execution.

Num	Initial Loss			DAO			G-SpNN				
INUIII	illuai Loss	Time (s)	#Iteration	Final Loss	SS Error	Passivity	Time (s)	#Iteration	Final Loss	SS Error	Passivity
1	6.17e12	17.47	93	4.8656	2.26e-3	passive	94.19	232	4.19e-2	2.46e-4	passive
2	6.50e8	104.65	7	3.86e-2	2.65e-5	passive	97.93	328	3.89e-2	2.65e-5	passive
3	17.36	2116.48	4	17.21	6.51e-4	passive	300.46	803	17.15	6.47e-4	passive
4	2.31e3	3923.14	9	2.24e3	4.97e-3	passive	176.56	469	2.14e3	4.69e-3	passive
5	78.32	_	_	_	_	_	403.35	456	77.62	5.58e-4	passive
F	Average	1540.44	28	565.53	1.98e-3		214.49	458	446.97	1.23e-3	

➤ G-SpNN achieves an average speedup of 7.63× compared to DAO.



- > DAO's average memory consumption is 171.3x that of G-SpNN.
- > Keeping the memory usage almost constant with an increasing number of ports.



Comparison of G-SpNN and DAO. The "-" indicates memory overrun during execution.

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Nulli	illuai Loss	Time (s)	#Iteration	Final Loss	SS Error	Passivity	Time (s)	#Iteration	Final Loss	SS Error	Passivity	
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	Average	1540.44	28	565.53	1.98e-3		214.49	458	446.97	1.23e-3		

More Detailed Explanation

For Case 1, although the runtime of DAO appears shorter, the comparison of the final loss and steady-state error shows that DAO actually experiences pseudoconvergence and does not reach the optimal solution.



Comparison of G-SpNN and DAO. The "-" indicates memory overrun during execution.

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More Detailed Explanation

- > For Case 3 and Case 4, it should be noted that the DAO method is forcibly terminated during the iteration process due to memory overflow and does not reach the predefined convergence criterion.
- > For Case 5, DAO experiences a memory overflow during the first iteration and could not complete the iteration.



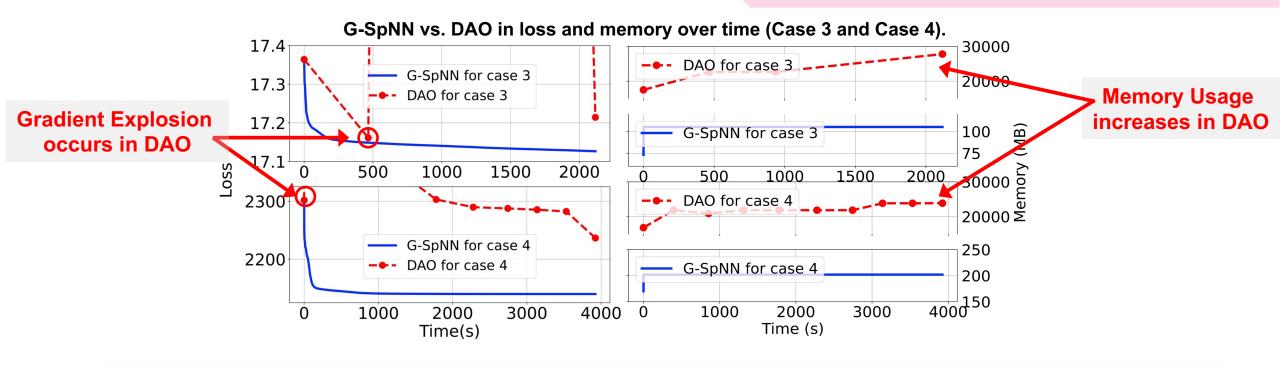
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More Detailed Explanation

Due to the high time and space complexity of the DAO method, we limit the **number of poles** in the VF method for cases 3-5 to ensure computational feasibility, which leads to higher SS Error and limits the reduction in loss.

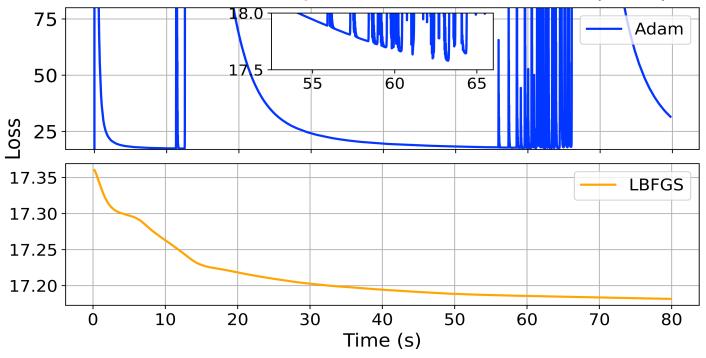




- > Using Case 3 and Case 4 as examples, figure shows the loss and memory usage variations during iterations.
- G-SpNN has a **smoother convergence** process with better performance, achieving **lower** loss compared to DAO.

Experiment Compare with Adam





The LBFGS method enables G-SpNN to progress steadily toward convergence, owing to second-order information guiding more effective update directions.



OUTLINE

- 1 Background
- 2 G-SpNN
- 3 Experiment
- 4 Conclusion





Conclusion

- Casting the passive macromodeling problem to neural network training, thus leveraging GPU acceleration.
- Using the LBFGS method to efficiently approximate the Hessian inverse matrix, efficiently decrease the memory cost and time overhead. Keeping the memory usage almost constant with an increasing number of ports.
- Experimental results show that G-SpNN not only converges more stably and quickly than DAO, with an average speedup of 7.63×, its memory usage can be reduced by two orders of magnitude in test cases.



